

# **The extreme 2015/16 El Niño, in the context of historical climate variability and change**

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### 13 *Capsule*

14 *Record warm central equatorial Pacific Ocean temperatures during El Niño 2015/16*  
 15 *appear to reflect an anthropogenically-forced trend. Whether they reflect changes in El*  
 16 *Niño variability remains uncertain.*

### 17 *Introduction*

18 Recent studies have suggested that both the amplitude and key characteristics of El Niño-  
 19 Southern Oscillation (ENSO) events have been changing, potentially due to some natural  
 20 and/or anthropogenic change in the tropical Pacific Ocean state during recent decades  
 21 (e.g., Yeh et al. 2009; Lee and McPhaden 2010; McGregor et al. 2013). If so, when might  
 22 this change be identifiable in individual ENSO events? Was the extreme warmth in the  
 23 equatorial Pacific seen in the recent 2015/16 El Niño, particularly near the dateline  
 24 (L’Heureux et al. 2017), a harbinger of this change? To address these questions, we  
 25 assess this event using statistics of Niño3 (5°S–5°N, 150°W–90°W) and Niño4 (5°S–5°N,  
 26 160°E–150°W) sea surface temperature (SST) indices, derived from observational  
 27 datasets and coupled general circulation model simulations. We use two indices to  
 28 capture differences between events, important to both forecasts and diagnosis of ENSO  
 29 and its impacts (Compo and Sardeshmukh 2010; Capotondi et al. 2015).

### 30 *How extreme was the 2015/16 El Niño?*

31 We compare the December 2015 (DEC2015) equatorial SST anomaly (SSTA) to  
 32 the SSTA distribution during 1891–2000, to more stringently test against potentially  
 33 recent non-stationarity. (Other winter months yielded similar results.) Figure 1 shows  
 34 histograms of monthly HadISST.v1.1 Niño3 and Niño4 indices, compared with two

different probability distribution functions (PDFs) determined not by fitting the histogram, but by fitting two different Markov processes to each index time series: (1) an AR1 process (or red noise; e.g., Frankignoul and Hasselmann 1977) with a memory time scale on the order of several months, yielding a Gaussian (normal) distribution; and (2) a “stochastically-generated skewed” process (SGS; Sardeshmukh et al. 2015), similar to the AR1 process but with noise that is asymmetric and depends linearly on the SSTA, yielding a non-Gaussian (skewed and heavy-tailed) distribution. Confidence intervals for these PDFs are determined from large ensembles of 110-yr realizations generated by each process. [See supplement for details.]

The SGS distribution captures the significant positive skewness of the Niño3 PDF (Fig. 1a). The observed tail probability (the probability of Niño3 reaching its observed DEC2015 magnitude) is underestimated by the Gaussian AR1 PDF, but not by the skewed SGS PDF. This result is insensitive to the dataset or to removing the 1891-2015 linear trend. Overall, the SGS distributions suggest that the probability of a monthly Niño3 value reaching or exceeding the DEC2015 magnitude is about 0.5%, consistent with previous occurrences of strong El Niño events in the observational record.

Results are quite different for Niño4, where weak negative skewness (Fig. 1b) means that the Gaussian distribution overestimates the DEC2015 tail probability. For all datasets examined (not shown), the warming trend makes the 2015/16 Niño4 values more extreme. The linearly detrended DEC2015 Niño4 was unprecedented in the HadISST.v1.1 and COBE datasets, but occurred a few times in the past century according to ERSST.v4, whether or not it is detrended.

57 *How likely was the 2015/16 El Niño?*

58         We next evaluate the likelihood and severity of the 2015/16 event by applying the  
59 generalized extreme value (GEV) distribution (e.g., Coles et al. 2001; Ferreira and de  
60 Haan 2015) to the historical annual maximum of detrended monthly Niño3 and Niño4  
61 indices during 1891-2000. [See supplement for our Bayesian analysis (Cheng et al.  
62 2014).] The return period, or (re)occurrence probability of an El Niño event with the  
63 observed 2015/16 intensity (a “2015/16-level” event), is derived for both indices from  
64 each dataset. The same assessment is repeated with the SGS ensembles discussed above.

65         Our analysis suggests that a 2015/16-level event could be expected for Niño3  
66 roughly once every 40 yrs. This median return period is reasonably robust to the  
67 observational or synthetic SGS dataset used. However, the uncertainty estimates for the  
68 return period, and thus the likelihood of the 2015/16 event, are less robust. Both ERSST  
69 datasets showed the least uncertainty and shortest return periods, with a 2015/16-level  
70 Niño3 SSTA occurring every 5 to 50 yrs, while COBE2 showed the greatest uncertainty  
71 with a range of 10 to 120 yrs. The SGS distributions, which have more extreme tail  
72 events, reduced the return period uncertainty for the ERSST and HadISST.v1 datasets  
73 and suggested a greater likelihood of 2015/16-level SSTA extremes.

74         For Niño4, there is much less agreement amongst the datasets (Fig. 1d), with the  
75 return period of a 2015/16-level event lowest for the ERSST datasets. For those datasets  
76 where the 2015/16 Niño4 SSTA was unprecedented, the return period cannot be derived  
77 using either the GEV or SGS approach. From ERSST.v4, however, such an event could  
78 occur one year in ten.

*Was the 2015/16 El Niño impacted by multidecadal trends in equatorial Pacific SST or ENSO variability?*

Figure 2 illustrates the evolution of 30-year mean SST and 30-year ENSO amplitude over the past 160 years, for two observational reconstructions and two model simulations. For simplicity we discuss only the HadISST.v1.1 and ERSST.v4 reconstructions, which generally bound the behavior of the other products we examined (HadISST.v2, ERSST.v3b, COBE, COBE.v2, Kaplan.v2, SODA-si.v3).

For both Niño3 and Niño4, the 1987-2016 epoch was observed to be either the warmest or the second warmest 30-year epoch on record, depending on the observational dataset. The warming trend is clearest after 1970 and in Niño4. It is more pronounced in ERSST.v4 than HadISST.v1.1. The centennial warming of both indices is marginally within the bounds of what could be expected from intrinsic multidecadal variations for HadISST.v1.1, but is outside the bounds for ERSST.v4, relative to a statistically-stationary multivariate AR1 process (a linear inverse model [LIM], constructed from detrended tropical SSTAs during 1959-2000; Newman et al. 2011). This is consistent with earlier results (Solomon and Newman 2012) that found equatorial Pacific 1900-2010 warming trends to be significant near and west of the dateline.

Robust equatorial Pacific warming from 1920-1949 to 1987-2016 is evident in ensemble simulations from the NCAR CESM-LE and GFDL FLOR-FA global coupled GCMs driven by historical natural and anthropogenic (“ALL”) forcings (Fig. 2c,d,g,h). CESM-LE’s warming is compatible with all the reconstructions, though most of its members warm more than HadISST.v1.1 and less than ERSST.v4. FLOR-FA’s warming, which is strong enough to be detected with any pair of 30-year means drawn at random

from each epoch, is marginally compatible with ERSST.v4 but too strong to be compatible with HadISST.v1.1. The FLOR-FA ensemble simulation with only natural (solar and volcanic, “NAT”) forcings shows ensemble-mean *cooling* from 1920-1949 to 1987-2016, so the FLOR-FA ALL warming must be entirely anthropogenic.

Compared to the historical changes in 30-year mean SST, there is even less observational consensus about changes in ENSO SSTA variance. In Niño4, HadISST.v1.1 shows a fairly monotonic 40% amplification of ENSO from the 1920s to the present, while ERSST.v4 shows only a 10% amplification and more interdecadal modulation of ENSO amplitude; neither exceeds the expected bounds of intrinsic multidecadal variations. In Niño3, HadISST.v1.1 shows a 10% strengthening of ENSO relative to 1900, while ERSST.v4 shows a 15% weakening.

The ALL simulations from CESM-LE and FLOR-FA both show ensemble-mean ENSO amplification from 1920-1949 to 1987-2016. However, the strong intrinsic interdecadal modulation of ENSO means that some individual realizations experience greater or smaller amplification, with a few even weakening. The simulations are broadly consistent with the reconstructed historical changes in ENSO amplitude, but this is primarily due to both the reconstruction uncertainty, and intrinsic modulation of ENSO that produces large sampling variability of amplitudes over 30-year epochs (Wittenberg 2009; Newman et al. 2011). Interestingly, the FLOR-FA ALL and NAT simulations both show ENSO amplification (and reduced ENSO modulation) during 1987-2016, mainly because the quietest epochs vanish, suggesting a key role for natural forcings in the FLOR-FA results.

## Conclusions

The 2015/16 El Niño was a strong but not unprecedented warm event in the eastern equatorial Pacific (Niño3), comparable to events occurring every few decades or so. However, central equatorial Pacific (Niño4) 2015/16 warmth was unprecedented in four of the five SST reconstruction datasets evaluated here. This exceptional warmth was unlikely, although not impossible, to have occurred naturally, and appears to reflect an anthropogenically-forced trend.

Whether this extreme warmth was associated with a change in ENSO variability, however, is less clear, given the substantial disagreement between datasets. Interestingly, SST reconstructions with relatively higher Niño3 and Niño4 variances around the start of the 20<sup>th</sup> century are also based on newer ICOADS releases, which include additional observations during that time (Freeman et al. 2016). Moreover, equatorial Pacific sea level pressure variance (i.e., Darwin and Tahiti) shows no pronounced centennial increase (e.g., Torrence and Compo 1998). Finally, our model results illuminate, but do not reconcile, continuing disparities among climate models concerning anthropogenic impacts on ENSO variability (Collins et al. 2010; Watanabe et al. 2012; Capotondi et al. 2015) due to lingering dynamical biases in the models (Bellenger et al. 2015; Graham et al. 2017). These issues suggest that we cannot yet confidently detect whether a secular change in ENSO variability (apart from the background warming) has occurred over the past century. Our study thus highlights the need to further reduce uncertainty in observational reconstructions, and further improve dynamical models, to better inform society about future ENSO risks.

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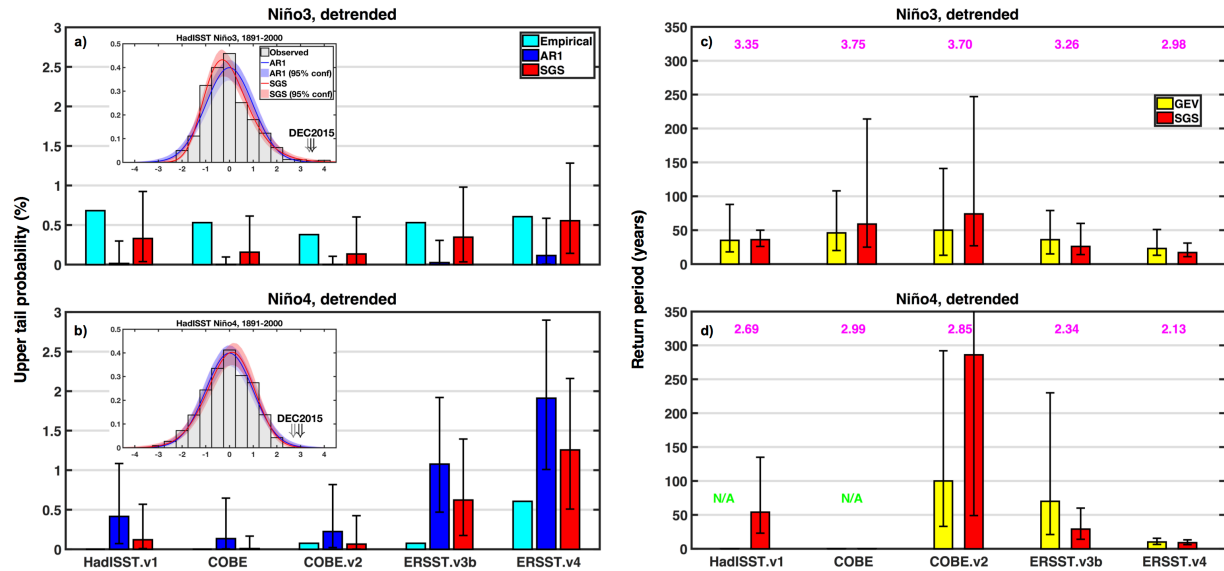
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## Figure Captions

**Figure 1. (a and b)** Estimations of linearly detrended DEC2015 (a) Niño3 and (b) Niño4 upper tail probabilities. For each SST reconstruction, the bars show the scalar tail probability empirically derived from the dataset and also its median value from AR1 and SGS distributions; ranges are shown by the whiskers. Insets compare SGS and AR1 PDFs with data histograms, using HadISST.v1.1 values (other datasets yielded similar results). Corresponding 95% confidence intervals are shaded; DEC2015 amplitudes are indicated by arrows, where the linear trend is (gray) or is not (black) first removed. **(c and d)** Return period estimation of 2015/16 (c) Niño3 and (d) Niño4 indices using the annual maximum of monthly SSTs. For each SST reconstruction, the bars show the 110-year sampling distribution of the return period matching the observed 2015/16 values (magenta numbers), with ranges shown by the whiskers. N/A indicates return periods not derivable using the GEV technique (see text).

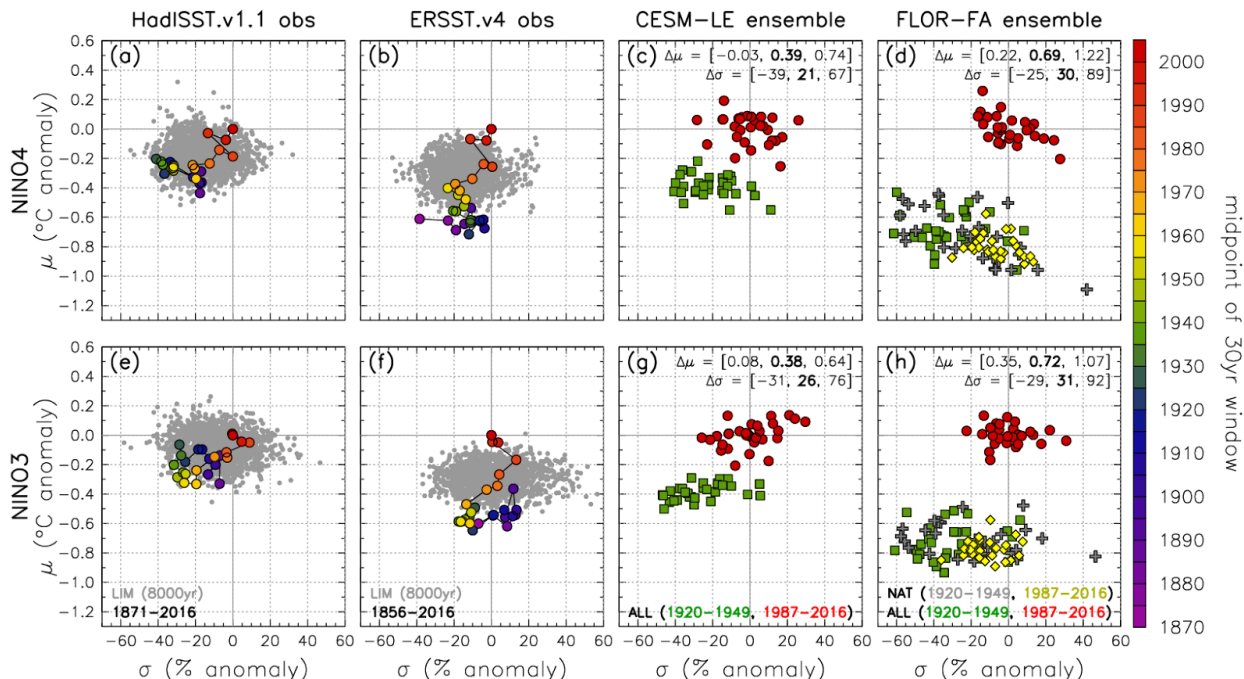
**Figure 2:** Statistics for annually-smoothed SSTs averaged over (a)-(d) Niño4 and (e)-(h) Niño3. Ordinate is the 30-year mean ( $\mu$ , °C departure from 1987-2016). Abscissa is the 30-year standard deviation ( $\sigma$ , % departure from 1987-2016). (a,b,e,f) sample the observationally reconstructed 30-year statistics every 5 years (colored dots; see colorbar). Gray dots in (a,b,e,f) show analogous statistics from 8000-year LIM simulations trained using detrended 1959-2000 data, either HadISST.v1.1 or ERSST.v4. (c,g) show the CESM-LE 30-member ensemble simulation with “ALL” (anthropogenic + natural) historical forcings, for 1987-2016 (red dots) and 1920-1949 (green squares) relative to the 1987-2016 ensemble mean; labels at top right indicate the ALL ensemble [minimum, **average**, maximum] change in  $\mu$  and  $\sigma$  from 1920-1949 to 1987-2016. (d,h)

240 show analogous statistics for the FLOR-FA 30-member ALL ensemble, along with a 30-  
241 member “NAT” ensemble with natural forcings only for 1920-1949 (gray crosses) and  
242 1987-2016 (yellow diamonds), also relative to the ALL ensemble mean.



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30yr-window statistics (relative to 1987–2016) for annually-smoothed SST



**Figure 2:** Statistics for annually-smoothed SSTs averaged over (a)-(d) Niño4 and (e)-(h) Niño3. Ordinate is the 30-year mean ( $\mu$ , °C departure from 1987-2016). Abscissa is the 30-year standard deviation ( $\sigma$ , % departure from 1987-2016). (a,b,e,f) sample the observationally reconstructed 30-year statistics every 5 years (colored dots; see colorbar). Gray dots in (a,b,e,f) show analogous statistics from 8000-year LIM simulations trained using detrended 1959-2000 data from HadISST.v1.1 or ERSST.v4. (c,g) show the CESM-LE 30-member ensemble simulation with “ALL” (anthropogenic + natural) historical forcings, for 1987-2016 (red dots) and 1920-1949 (green squares) relative to the 1987-2016 ensemble mean; labels at top right indicate the ALL ensemble [minimum, **average**, maximum] change in  $\mu$  and  $\sigma$  from 1920-1949 to 1987-2016. (d,h) show analogous statistics for the FLOR-FA 30-member ALL ensemble, along with a 30-member “NAT” ensemble with natural forcings only for 1920-1949 (gray crosses) and 1987-2016 (yellow diamonds), also relative to the ALL ensemble mean.